



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lu et al.
Serial No. : 10/728,195
Filed : December 3, 2003
Title : POLYVALENT, PRIMARY HIV-1 GLYCOPROTEIN DNA VACCINES AND
VACCINATION METHODS

Art Unit : 1642
Examiner : Unknown

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

In response to the communication dated March 31, 2004 (copy enclosed), applicants submit herewith a Sequence Listing in computer readable form as required by 37 CFR §1.824. In addition, applicants submit an initial Sequence Listing as required under 37 CFR §1.823(a) and a statement under 37 CFR §1.821(f).

Applicants respectfully request entry of the paper copy and computer readable copy of the Sequence Listing filed herewith for the instant application. Furthermore, applicants request that the paper copy of the Sequence Listing be inserted into the specification.

I hereby state that this submission, filed in accordance with 37 CFR §1.821(g), does not contain new matter.

Prior to examination, please amend the application as indicated on the following pages.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

June 1, 2004

Signature

Mary J. Zynda

Typed or Printed Name of Person Signing Certificate

Mary J. Zynda

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Attorney's Docket No.: 17738-003001 / UMMC 03-24

Amendments to the Drawings:

The attached replacement sheets of drawings includes changes to Figs. 13A-13D, 22A-22E, and 24A-24R and replace the original sheets including Figs. 13A-D, Figs. 22A-22E, and Figs. 24A-24R.

Attachments following last page of this Amendment:

Replacement Sheets (15 pages)